

# **EXHIBIT 17**

UNITED STATES PATENT AND TRADEMARK OFFICE  
BEFORE THE PATENT TRIAL AND APPEAL BOARD  
U.S. PATENT NUMBER 8,095,879

SAMSUNG ELECTRONICS CO., §  
LTD., SAMSUNG ELECTRONICS §  
AMERICA, INC., AND APPLE, §  
INC., §  
§  
Petitioners, §  
§  
vs. § CASE NO. IPR 2021-00144  
§  
NEONODE SMARTPHONE LLC, §  
§  
Patent Owner. §

EXPERT ORAL DEPOSITION

DR. CRAIG S. ROSENBERG

May 20, 2022

EXPERT ORAL DEPOSITION OF DR. CRAIG S.  
ROSENBERG, produced as a witness at the instance of  
the Petitioner and duly sworn, was taken in the  
above-styled and numbered cause on the 20th day of  
May, 2022, from 11:06 a.m. to 4:30 p.m., before  
Michelle Hartman, Certified Shorthand Reporter in and  
for the State of Texas and Registered Professional  
Reporter, reported by computerized stenotype machine  
via Zoom videoconference, pursuant to the Federal  
Rules of Civil Procedure and the provisions stated on  
the record or attached hereto.

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ALSO PRESENT:

Mr. Philip Graves, NeoNode in-house counsel

1 systems are designed so that swipe has a distinct  
2 meaning from drag and drop, and that is where the  
3 similarities essentially begin and end.

4 In terms of if you're just looking at  
5 what that finger is doing, it is really cutting out  
6 so much more of what the user's intention is, the  
7 state of the system, what's shown on the display.

8 Q. Does Figure 2 provide any information  
9 about the state of the system?

10 MR. HENDIFAR: Objection: Form.

11 THE WITNESS: Like I said, when a  
12 person of skill in the art reads a patent, they are  
13 not looking at just one figure, they are looking at  
14 the entire specification. The figure is supportive  
15 of the words in the specification. So the  
16 specification talks about when the action of Figure 2  
17 is used, what's shown before that action happens,  
18 what's shown after that action happens. So this is  
19 just one part of the description of the -- of the  
20 invention.

21 Q. (BY MS. MILLER) I'm going to restate my  
22 question, which I think is a pretty narrow question.

23 You would agree that the action of the  
24 user shown in Figure 2 is consistent with how a user  
25 would perform a drag gesture, correct?

1 MR. HENDIFAR: Objection: Form.

2 THE WITNESS: If you're just looking  
3 at what the finger is doing, and I believe I have  
4 testified to this earlier, so you're looking at that  
5 in isolation, finger down on a touch-sensitive area,  
6 finger sliding along a touch-sensitive area and  
7 finger up, I would agree that those finger motions  
8 are similar between swipe and drag and drop.

9 But it just can't be ignored that the  
10 patent owner, the applicant, if you will, during the  
11 course of the prosecution of this patent is -- you  
12 know, it may rise to the level of a prosecution bar  
13 or a prosecution disclaimer that they are saying this  
14 invention does not cover drag and drop. We are not  
15 talking about drag and drop here.

16 I think I pointed you to the figure on  
17 page 170 of the prosecution history. If you look at  
18 paragraphs 54 and 55 of my declaration, and 56 and 57  
19 talk about how this invention is not talking about  
20 drag and drop, then for the last 15 minutes, your  
21 questions -- your questions seem to be directed to  
22 admissions of this is essentially drag and drop,  
23 but -- but I don't think that is proper given the  
24 intrinsic evidence.

25 Q. (BY MS. MILLER) So given your testimony

1 over the last 15 minutes, is it fair to say that it  
2 is important to understand how the system operates in  
3 order to determine whether the gesture in Figure 2 is  
4 a swipe or a drag?

5 MR. HENDIFAR: Objection: Form.

6 THE WITNESS: In general, yes. In  
7 general, I do feel that the difference -- because  
8 there can be similarities in terms of if you're just  
9 looking at what the finger is doing, you do need to  
10 look at the behavior of the system. In the same way  
11 in Ren, the slide touch and the slide off, you need  
12 to look at, well, what's happening in this system  
13 as -- because there are similarities. So -- so, yes,  
14 I would agree with that.

15 Q. (BY MS. MILLER) Does Figure 2 provide  
16 any information about the speed of the finger as it's  
17 moving in direction B?

18 A. I am just looking through some other  
19 places in the patent where speed is mentioned and a  
20 few other places. And your question again, please?

21 Q. Does Figure 2 provide any information  
22 about the speed of the finger as it moves in  
23 direction B?

24 A. Figure 2 does not talk about the speed  
25 of the finger, but there is -- there are some

1 function 21 in the menu area and moves their finger  
2 to the display area to activate the function,  
3 correct?

4 A. Are you reading from a specific place  
5 in the patent so I can follow along?

6 Q. I was not, but I was using the language  
7 that's at column four, lines seven through 11, which  
8 uses the word "movement."

9 A. Column four, lines seven through 11?

10 Q. Correct.

11 A. Yeah, a movement is a superset. You  
12 know, and anything can be a movement. In the  
13 prosecution history, the patentees have -- if you  
14 look at paragraph 51 of my report, the pending claims  
15 originally cited moving and that got changed to  
16 gliding away, which in the prosecution history is  
17 tied to -- synonymously with swiping.

18 So movement is -- is -- you know,  
19 walking, jogging or running are all forms of  
20 movement, but gliding is a subset, if you will, a  
21 subset of a certain type of movement. So I think it  
22 is important to be precise and specific when you're  
23 talking about what's required by this patent and it  
24 would be a gliding away.

25 Q. But the patent describes the function

1 as activated in response to movement of the object 4,  
2 correct? "Movement" is the word used in the patent?

3 MR. HENDIFAR: Objection: Form.

4 THE WITNESS: In this specification --  
5 and did you -- you were pointing me to -- was it  
6 column two or four?

7 Q. (BY MS. MILLER) Four.

8 A. Column four, yes, I see it, around line  
9 nine. My understanding in -- in patents is that the  
10 specification, it is the claims that are controlling.  
11 It is the -- the invention is embodied in the claim  
12 itself and the specification may be a little more  
13 general. Is a swipe a form of movement? Yes, it is.  
14 Are all movements swipes? No.

15 The movement is a superset. So I  
16 don't think that column four, lines roughly seven  
17 through 12 are incorrect. They are just not as  
18 specific as what's claimed in this patent in claim  
19 one.

20 And it is the patentee -- if you go  
21 back, I think it was 50 -- I'm sorry. Is it 51?  
22 Yeah. In 51 in my declaration, I talk about this and  
23 how originally the word "moving" was in the claim,  
24 moving in a direction from a starting point, you  
25 know, dot dot dot, to a display area; and according



1 to the prosecution history, that became more specific  
2 after the examiner watched a video from NeoNode and  
3 the -- and the explanations that ensued from that to  
4 become more specific.

5 So, again, I don't think "movement" is  
6 necessarily wrong because it is a superset that  
7 represents many kinds of movements, but it is not as  
8 specific as what is claimed in this patent.

9 Q. You would agree that the specification  
10 uses the word "movement," correct, to describe the  
11 action to activate the functions 21, 22, and 23?

12 A. I am not going to disagree that the  
13 word -- and let me get the form of the word exact --  
14 that the word "movement" -- the word "movement" is in  
15 the patent. I just think all of my previous  
16 explanations about how movement is a superset and the  
17 back and forth between the Patent Office and the  
18 patentee are applicable here, but of course, I'm not  
19 going to disagree that that word "movement" is  
20 present in the specification.

21 Q. And you would agree that the  
22 specification does not use the word "glide" or  
23 "gliding" to describe the gesture to activate  
24 functions 21, 22 and 23, correct?

25 A. To answer your, you know, very specific

1 question, gliding is just in the claims.

2 Q. Is there anything in Figure 2 that  
3 informs the reader whether that is a glide versus a  
4 swipe or a drag?

5 MR. HENDIFAR: Objection: Form.

6 THE WITNESS: A glide versus a swipe  
7 versus a drag? Yeah, I think we spoke about this a  
8 little before the break and that I don't believe that  
9 a person skilled in the art would just look at  
10 Figure 2 to understand what's taught in the patent.  
11 They are not looking at Figure 2 in isolation. So I  
12 am not sure where to go with your question.

13 Is your question if someone only had  
14 Figure 2 and nothing else could they determine? Was  
15 that -- was that your question? I'm not --

16 Q. (BY MS. MILLER) Yes.

17 A. If you could ask it again, I really  
18 will try to be responsive to it.

19 Q. Is there anything in Figure 2 that  
20 informs the reader whether this is a glide versus a  
21 swipe versus a drag?

22 MR. HENDIFAR: Objection: Form.

23 THE WITNESS: Because the action of  
24 the thumb or the stylus is similar in terms of being  
25 in the air, down on a dis -- down on the display,

1 moving along the display, and potentially lifting up,  
2 although Figure 2 is not showing that part of it,  
3 those parts would be similar; but as we spoke pretty  
4 extensively prior to the break, that's where the  
5 similarities end, and swipe gestures are different  
6 gestures than drag gestures.

7 Q. (BY MS. MILLER) So to reset, in order  
8 to activate function 21, for example, the user  
9 touches their finger down on the representation of  
10 the function 21 and glides their finger into the  
11 display area, correct?

12 A. That is correct.

13 Q. If the user touches down on function --  
14 representation of function 21 and glides their finger  
15 to the right along that black strip towards the file  
16 folder, would that activate function 21?

17 MR. HENDIFAR: Objection: Form and  
18 scope.

19 THE WITNESS: Well, I have not  
20 analyzed that specifically. I don't think that was  
21 an issue that the Petitioners or Dr. Bederson raised,  
22 but given my read of the patent, I suspect that it  
23 would not, as I suspect that you need to move into  
24 the display area 3 in order to activate the function,  
25 given the description in the specification.

1 STATE OF TEXAS

2 COUNTY OF HARRIS

3  
4 REPORTER'S CERTIFICATE

5 ORAL DEPOSITION OF

6 DR. CRAIG ROSENBERG

7 May 20, 202

8  
9 I, Michelle Hartman, the undersigned  
10 Certified Shorthand Reporter in and for the State of  
11 Texas and Registered Professional Reporter, certify  
12 that the facts stated in the foregoing pages are true  
13 and correct.

14 I further certify that I am neither  
15 attorney or counsel for, related to, nor employed by  
16 any parties to the action in which this testimony is  
17 taken and, further, that I am not a relative or  
18 employee of any counsel employed by the parties  
19 hereto or financially interested in the action.

20 That the deposition transcript was duly  
21 submitted on \_\_\_\_\_ to the witness or to  
22 the attorney for the witness for examination,  
23 signature, and returned to me by \_\_\_\_\_.

1 SUBSCRIBED AND SWORN TO under my hand and  
2 seal of office on this \_\_\_\_\_ day of June, 2022.

3  
4 *Michelle Hartman*  
5 \_\_\_\_\_



6 Michelle Hartman, CSR, RPR  
7 Texas CSR 7093  
8 Expiration: 12/31/23  
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